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## **Determinants of HDL cholesterol efflux capacity after virgin olive oil ingestion: Interrelationships with fluidity of HDL monolayer.**

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**Abbreviations and acronyms**

ABC	ATP-binding cassette transporters
ACAT	Acyl-CoA cholesterol acyltransferase
Apo	apolipoprotein
BSA	bovine serum albumin
CEC	cholesterol efflux capacity of HDL
CETP	cholesterol ester transfer protein
BMI	body mass index
BODIPY	boron dipyrromethene difluoride
CV	coefficients of variability

DMEM	Dulbecco's Modified Eagle's medium
DPH	1,6-diphenyl-1,3,5-hexatriene
EC	esterified cholesterol
FC	free cholesterol
G	grating correction factor
HDL	high-density lipoprotein
HPLC	high-pressure liquid chromatography
LDL-C	low-density lipoprotein cholesterol
METS	metabolic equivalents for task
NMR	nuclear magnetic resonance spectroscopy
PON	paraoxonase
r	fluorescence anisotropy
TC	total cholesterol
TG	triglycerides
VOHF	Virgin Olive Oil and HDL Functionality study
VOO	virgin olive oil

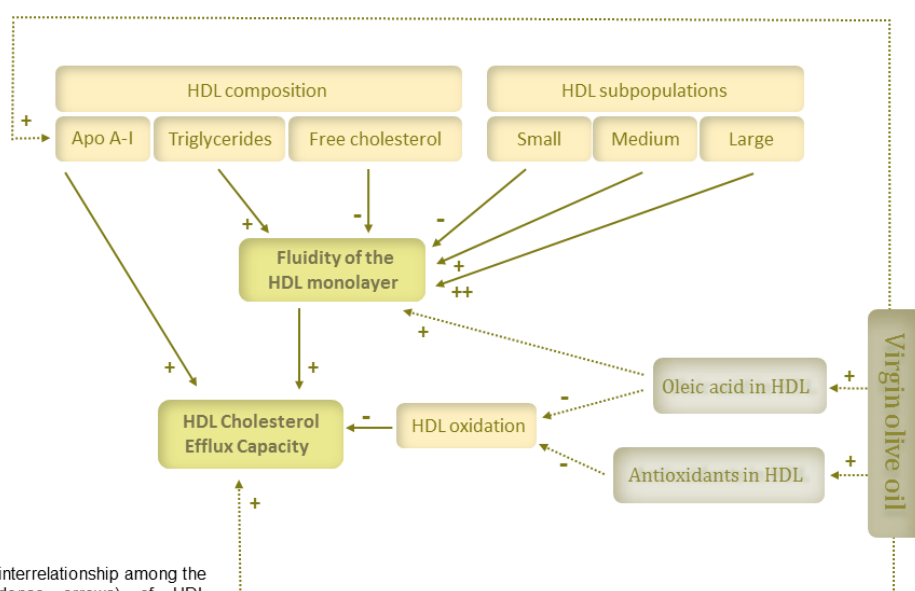
## Abstract

**Scope** Cholesterol efflux capacity of HDL (CEC) is inversely associated with cardiovascular risk. HDL composition, fluidity, oxidation, and size are related with CEC. We aimed to assess which HDL parameters were CEC determinants after virgin olive oil (VOO) ingestion.

**Methods and Results** Post-hoc analyses from the VOHF study, a crossover intervention with three types of VOO. We assessed the relationship of 3-week changes in HDL-related variables after intervention periods with independence of the type of VOO. After univariate analyses, mixed linear models were fitted with variables related with CEC and fluidity. Fluidity and Apolipoprotein (Apo)A-I content in HDL was directly associated, and HDL oxidative status inversely, with CEC. A reduction in free cholesterol, an increase in triglycerides in HDL, and a decrease in small HDL particle number or an increase in HDL mean size, were associated to HDL fluidity.

**Conclusions** HDL fluidity, ApoA-I concentration, and oxidative status are major determinants for CEC after VOO. The impact on CEC of changes in free cholesterol and triglycerides in HDL, and those of small HDL or HDL mean size, could be mechanistically linked through HDL fluidity. Our work points out novel therapeutic targets to improve HDL functionality in humans through nutritional or pharmacological interventions.

Determinants for HDL cholesterol efflux capacity (CEC) were assessed. Post-hoc analyses from data of a crossover intervention with three types of virgin olive oil (VOO), were performed to assess the relationship of 3-week changes in HDL-related variables after intervention periods. HDL fluidity, ApoA-I concentration, and oxidative status were major determinants for CEC after VOO. The impact on CEC of an increase in HDL-free cholesterol and HDL mean size, and a reduction in HDL-triglycerides and small HDL particle number could be mechanistically linked through HDL fluidity.



Proposal model for the interrelationship among the main determinants (dense arrows) of HDL cholesterol efflux capacity in hypercholesterolemic subjects after virgin olive oil (VOO) ingestion. Intermittent arrows point out the expected contribution of the main VOO components.

## Introduction

High-density lipoprotein (HDL) cholesterol levels have been shown to be inversely related to cardiovascular disease [1]. However, data from multiple gene association [2] and intervention [3] studies lead to consider the functional quality of HDL as a more important parameter than the circulating quantity of HDL. The main functional property of the HDL particle, the reverse cholesterol transport pathway, is the capacity of HDL to remove the excess of cholesterol in peripheral cells and transport it to the liver cells to be metabolized. In particular, cholesterol efflux, the first step of the reverse cholesterol transport, from macrophages to HDL in atherosclerotic plaques is thought to be critical in the anti-atherogenic effect of HDL [4]. Cholesterol efflux capacity of HDL (CEC) has been shown to be inversely associated with both prevalent coronary disease and incident atherosclerotic cardiovascular disease and it is considered a useful biomarker for cardiovascular risk [5,6].

Several HDL characteristics have been related to its CEC, namely composition, fluidity of the monolayer, oxidative status, and size [7]. Interrelationships among these features have also been described. First, HDL composition deeply affects its function. The distribution of HDL lipids between surface and core is crucial for apolipoprotein (Apo) A-I stability in HDL, a key protein involved in CEC [4], although ApoA-II is also able to efficiently remove cholesterol from macrophages *in vivo* [8]. From our previous data, changes in HDL composition also modulate HDL fluidity [9, 10], considered an intermediate marker of enhanced HDL functionality [11]. Low levels of phospholipids, or high levels of free cholesterol (FC), on the HDL surface may contribute to a less fluid HDL, and therefore to a less functional one [4]. Second, HDL oxidation also plays a pivotal role in HDL functionality. We have previously reported that oxidized HDL are less fluid, and therefore less able to perform cholesterol efflux from human THP-1 macrophages [11, 12]. This

decrease in HDL functionality could be promoted through the impairment of the ApoA-I binding to cholesterol transporters due to ApoA-I/HDL oxidation [13,14]. Finally, the relationship between HDL particle size and CEC remains controversial [15,16]. On one hand, in some *in vitro* studies small HDL particles were the most efficient mediators of CEC [15]. On the other hand, large HDL particles were the best promoters for CEC in human studies, being also related to coronary endothelial dysfunction [16].

From our data, and those from others, consumption of virgin olive oil (VOO) increases not only the plasma HDL cholesterol concentration levels [17], but also the CEC, and HDL fluidity [10, 18, 19], due, at least in part, to a transcriptomic effect [20]. As we have previously reported in experimental and human studies, phenol-containing VOO, besides to increase HDL fluidity [10, 18], has shown to: modify HDL composition by increasing the HDL content of oleic acid [21] and ApoA-I and ApoA-II levels [22], increase the HDL antioxidant content [23], improve the HDL oxidative status [18, 20, 23], and promote a less atherogenic HDL subclasses profile [18, 24]. However, it still remains unknown how these functional enhancements are inter-related and how they all explain an improvement in HDL functionality. Due to this, in this study we examined the associations between CEC and variables related with HDL composition, fluidity, oxidative/antioxidative status, and particle size after VOO consumption. Our aim was to define which parameters can be the best predictors for CEC after a dietary intervention which enhances it.

## Methods

### *Subjects*

Thirty-three hypercholesterolemic (total cholesterol >200 mg/dL) individuals (19 men and 14 women) were recruited from newspaper and University advertisements. Volunteers were preselected when non-smokers, and their clinical record, physical examination, and blood



pressure were within a normal range. Next, complete blood count, routine biochemical laboratory analyses, and urinary dipstick tests were performed. Candidates were included when values, other than total and low-density lipoprotein cholesterol (LDL-C), were within the reference range. Exclusion criteria were: LDL-C  $\geq 190$  mg/dL, triglycerides  $\geq 350$  mg/dL, fasting blood glucose  $> 126$  mg/dL, plasma creatinine levels  $> 1.4$  mg/dL for women and  $> 1.5$  mg/dL for men, body mass index (BMI)  $> 35$ , smokers ( $> 1$  cigarette/day), athletes with physical activity ( $> 3000$  Metabolic Equivalents for Task (METS).min/day), hypertension, multiple allergies, intestinal diseases, chronic diseases (i.e. diabetes, cardiovascular, etc.), or other conditions that would impair the adherence to the study. Participants provided written informed consent, and the local institutional ethics committee approved the protocol (CEIC-IMAS 2009/3347/I).

### ***Design and study procedure***

This work was conducted in the frame of the VOHF (Virgin Olive Oil and HDL Functionality) Study. A crossover, double-blind, controlled trial was performed with three types of olive oil: 1) a natural VOO containing its phenolic compounds; 2) this natural VOO enriched with its own phenolic compounds, and 3) the natural VOO enriched with both VOO phenolic compounds plus those from thyme in a 1:1 ratio. The three VOOs did not differ in fat and micronutrient composition, with the exception of the phenolic content (80, 500, and 500 ppm, respectively). Three-week intervention periods (25 mL/day VOO) were preceded by two-week washout periods with refined olive oil. 24-hour urine and blood samples were collected at fasting state at the start of the study and before and after each treatment. A 3-day dietary record was administered at baseline and after each intervention period. Participants were asked to avoid a high intake of rich antioxidant foods (i.e. vegetables, legumes, fruits, etc.). A nutritionist advised to participants for replacing all types of habitually consumed raw

fats with the olive oils catered. Plasma EDTA and serum samples were obtained by whole blood centrifugation and preserved at  $-80^{\circ}\text{C}$ . The clinical trial was conducted in accordance with the Helsinki Declaration and the Good Clinical Practice for Trials on Medical Products in the European Community ([http://ec.europa.eu/health/files/eudralex/vol-10/3cc1aen\\_en.pdf](http://ec.europa.eu/health/files/eudralex/vol-10/3cc1aen_en.pdf)). The protocol is registered with the International Standard Randomized Controlled Trial register ([www.controlled-trials.com](http://www.controlled-trials.com): ISRCTN77500181).

The present study is a post-hoc analysis with samples of the participants in the VOHF study assessing, with independence of the type of olive oil consumed, the relationship of the 3-week changes after all intervention periods among CEC, the fluidity of the HDL monolayer, and variables related to HDL composition, oxidative/antioxidative status, and size.

#### ***Data collection***

Anthropometric variables were recorded. Blood pressure was measured with a mercury sphygmomanometer after at least a 10-min rest in the seated position. Physical activity, recorded at baseline and at the end of the study was assessed by the Minnesota Leisure Time Physical Activity Questionnaire which has been validated for its use in Spanish men and women [25]. Plasma glucose, total cholesterol (TC), and triglycerides (TG) were measured by standard enzymatic automated methods, plasma HDL-cholesterol by an accelerator selective detergent one (ABX-Horiba Diagnostics, Montpellier, France), and ApoA-I and ApoA-II by immunoturbidimetry, in a PENTRA-400 automated analyzer (ABX-Horiba Diagnostics, Montpellier, France). Low density lipoproteins (LDL) cholesterol was calculated by the Friedewald equation.

### ***HDL isolation***

HDL was isolated from plasma by ultracentrifugation with a density gradient preparation method [26], using at once two solutions of different densities, 1.006 g/mL and 1.21 g/mL. To ensure the purity of the HDL fractions, ApoB100 and albumin levels were determined in the samples by automatic immunoturbidimetric methods (ABX-Horiba Diagnostics).

### ***HDL composition***

*Fatty acids in HDL.* HDL lipids were trans-esterified and after methanolysis, 1 mL of saturated NaCl solution was added to stop the reaction and 0.75 mL of hexane to extract the fatty acid methyl esters. Samples were centrifuged at 2212 g for 10 min and the supernatant injected into the chromatograph. The analysis was performed by gas chromatography (Agilent 7890A Series) using a capillary SP-2330 column (30 m x 0.25 mm x 0.2 µm) (Supelco, Bellefonte, USA), coupled to a flame ionization detector.

*Lipids and proteins in HDL.* TC, FC, TG, and phospholipids in HDL were quantified by automatic enzymatic-colorimetric methods, and ApoA-I and ApoA-II by automatic immunoturbidimetric methods. Determinations were performed in a Cobas-Mira Plus automated analyzer (Roche, Basel, Switzerland) with reagents from Spinreact (Barcelona, Spain). Esterified cholesterol (EC) was quantified as TC minus FC. The TG content of the HDL core was assessed as the ratio between TG and EC in HDL [18].

### ***HDL oxidative/antioxidative status***

*HDL resistance to oxidation.* It was determined by the conjugated dienes formation after copper oxidation of isolated HDL [27]. Briefly, dialyzed HDL (10 mg/dL of HDL

cholesterol) was incubated with cupric sulphate (5 µM) in phosphate buffered saline at 37°C

for 4h. Absorbance at 234 nm was continuously monitored at 3 min intervals in an INFINITE M200 reader (Tecan Group Ltd). The length of the lag phase was defined as the time (minutes) to the intercept of the tangent of the absorbance curve in the propagation phase with baseline. Propagation rate was expressed as the slope of the tangent (change in absorbance/min). Maximum dienes formation was calculated by the maximum increase of the absorbance at 234 nm using the molar absorbance  $\epsilon_{234\text{nm}}$  for conjugated dienes ( $29.5000 \cdot \text{L} \cdot \text{mol}^{-1} \cdot \text{cm}^{-1}$ ). Determinations were performed in duplicate. We used an HDL pool from healthy volunteers as between assay control. Data from HDL resistance to oxidation were only available from a subsample of 25 volunteers.

*Antioxidant compounds in HDL.* Carotenoids, retinol, ubiquinol, tocopherols, and phenolic compounds from olive oil and thyme were analyzed by high-pressure liquid chromatography (HPLC) [28, 29]. Compounds were identified by their retention time compared with pure standards or, when unavailable (lutein and  $\beta$ -cryptoxanthin), with compounds obtained and purified in the laboratory. Determinations were run in duplicate.

#### *Paraoxonase 1 (PON1) activity assessment*

PON1-associated lactonase activity was measured in human sera using 5-thiobutyl butyrolactone (a synthetic lactone) as substrate as previously described [30, 31]. This activity is expressed as U/L (1 U =  $1 \mu\text{mol}$  of substrate hydrolyzed per minute).

#### *Nuclear magnetic resonance spectroscopy (NMR) HDL lipoprotein particle count and subclasses*

Serum samples were shipped to the National Heart Lung and Blood Institute, National Institutes of Health (NIH; Bethesda, MD, USA). Lipoprotein subclasses measurement was performed by NMR in a Vantera clinical spectrometer, produced by LipoScience (Raleigh,

NC, USA). The NMR LipoProfile test by LipoScience involves measurement of the 400 MHz proton NMR spectrum of samples and uses the characteristic signal amplitude of the lipid methyl group broadcasted by every lipoprotein subfraction as the basis for quantification. LipoProfile-3 algorithm was performed to quantify the mean particle size and concentrations of HDL lipoproteins. Subparticle concentrations were determined for three HDL subclasses (large: 8.8–13 nm; medium: 8.2– 8.8 nm; and small: 7.3–8.2 nm) [32].

### ***HDL monolayer fluidity***

HDL particle fluidity was determined by the steady-state anisotropy of 1,6-diphenyl-1,3,5-hexatriene (DPH) [18]. Briefly, HDL samples were incubated with DPH 1  $\mu$ M (30 min) and stimulated with a vertically polarized light at 360 nm. Fluorescent emission intensities were detected at 460 nm in a Perkin-Elmer LS50B spectrofluorometer (Perkin Elmer, Waltham, MA, USA), through a polarizer orientated in parallel and perpendicular to the direction of polarization of the emitted beam. The steady-state fluorescence anisotropy ( $r$ ) was calculated with the  $I_p$  values, and with the grating correction factor of the monochromator ( $G$ ), using the following formula:  $r = (I_{vv} - GI_{vh}) / (I_{vv} + 2GI_{vh})$ . The steady-state anisotropy refers to the rigidity of the sample, therefore the inverse value of this parameter ( $1/r$ ) is the fluidity index. Determinations were performed in duplicate.

### ***Cholesterol Efflux determination***

Murine J-774A.1 macrophages were labeled for 1 h with TopFluor-Cholesterol, a fluorescent cholesterol probe in which the cholesterol molecule is linked to boron dipyrromethene difluoride (BODIPY) moiety (Avanti Polar Lipids, USA). The labeling of cells was performed in Dulbecco's Modified Eagle's medium (DMEM) containing 0.125 mM of total cholesterol, where TopFluor-Cholesterol accounted for 20 % of total cholesterol. Labeled cells were incubated for 18 h with TO-901317 (3  $\mu$ M; Sigma-Aldrich, USA) to upregulate

ATP-binding cassette (ABC) transporters, in low-glucose DMEM supplemented with bovine serum albumin (BSA; 0.2 %). This assay determines CEC mediated predominantly via ABCA1 but also via ABCG1. Cells were then incubated for 24 h with volunteers' HDL (100 µg/mL). The Acyl-CoA cholesterol acyltransferase (ACAT) inhibitor Sandoz 58-035 (5 µM; Sigma-Aldrich, USA) was present during the whole experimental procedure. All incubations were performed at 37°C in a humidified incubator. Cells were solubilized with cholic acid (1 %) and mixed on a plate shaker for 3 h at room temperature. Fluorescence intensity of media and cells was monitored in Microplate Reader Synergy HT (BioTekInstruments; USA) at λEx/Em=485/528 nm. CEC was calculated as:  $[\text{media fluorescence}/(\text{media fluorescence} + \text{cells fluorescence})] \times 100$ . Background efflux was subtracted from values obtained in the presence of HDL. Data were adjusted by the HDL particle number. We used an HDL pool from healthy volunteers as a between assay control. All conditions were run in triplicate. Intra- and inter-assay coefficients of variability (CV%) of the method were 4.87% and 6.61% respectively.

**Statistical analyses** Normality of continuous variables was evaluated by probability plots. Non-normally distributed variables were log transformed. Student's T test for related measurements was used for change comparisons. Univariate associations were examined by Pearson's correlation coefficients. Stepwise mixed linear models were fitted with variables significantly associated with 3-week changes in CEC or fluidity in the univariate analyses. When collinearity between variables exists, separate models were fitted. Models were adjusted by age and sex, and individual level of test subjects as random effect. Significance was defined at the 5% level using a two-tailed test. Analyses were carried out using SPSS software version 21.0 (SPSS, Inc., Chicago, IL).

## Results

Baseline characteristics of the subjects are shown in Table 1. Subjects under antilipidemic medication did not change either their dose or type of medication through the study. No changes in physical activity were observed from the beginning to the end of the study. No changes in daily energy intake or those of micro or macronutrients were observed among intervention periods. Table 2 shows pre- and post-intervention values in HDL related variables. A significant increase at post-intervention versus pre-intervention values was observed in CEC ( $p=0.042$ ), HDL oleic acid concentration ( $p=0.009$ ), HDL ApoA-I concentration ( $p=0.014$ ), and antioxidants in HDL:  $\alpha$ -tocopherol ( $p=0.017$ ),  $\beta$ -cryptoxanthin ( $p<0.001$ ), coenzyme-Q ( $p=0.005$ ), lutein ( $p<0.001$ ), retinol ( $p=0.011$ ), and phenolic compounds ( $p<0.001$ ).

### Cholesterol efflux associations

Table 3 shows the Pearson's correlation coefficients for the association between changes in CEC and in HDL fluidity, and changes in variables related to HDL composition, oxidative/antioxidative status, and size. CEC was directly related with the fluidity of the HDL ( $p=0.004$ ). CEC was inversely related with concentration of linoleic acid in HDL ( $p=0.034$ ) and with that of small HDL particles ( $p=0.001$ ), but directly related to the concentration in HDL of stearic acid ( $p=0.003$ ), ApoA-I ( $p=0.004$ ), ApoA-II ( $p=0.001$ ), TC ( $p=0.008$ ), EC ( $p=0.40$ ), TG ( $p=0.021$ ), phospholipids ( $p<0.001$ ), lag time of conjugated dienes formation ( $p=0.006$ ), concentration in HDL of  $\beta$ -cryptoxanthin ( $p=0.008$ ), and concentration of medium ( $p=0.012$ ) and large ( $p=0.041$ ) HDL particles.

Results of the stepwise mixed linear models are shown in Table 4. Given that determinations of the lag time of conjugated dienes were not available in the whole sample we performed Model 1 with all variables which had significance in the univariate analyses,

but without entering the lag time in the model. Model 2 shows the results with all variables that had significance in the univariate analyses. Results of these models point out an increase in HDL fluidity, ApoA-I, and resistance against oxidation (lag time of conjugated dienes) as main determinants for CEC. Model 3 shows the results without entering HDL fluidity in the full model. In this case, small HDL particle number appear inversely and significantly related, indicating that it could be a variable which reflects the effect of fluidity on CEC. Thus, we performed the same analyses with fluidity as the dependent variable.

### **HDL fluidity associations**

Table 3 shows the Pearson's correlation coefficients for the univariate association between changes in HDL fluidity and in CEC, and changes of those variables related to HDL composition, oxidative/antioxidative status, and size. HDL fluidity was inversely related to the concentration of FC ( $p=0.003$ ) in HDL, and that of small HDL particles ( $p<0.001$ ). HDL fluidity was directly related to the concentration of EC in HDL ( $p=0.036$ ), total TG content ( $p<0.001$ ), TG in HDL core ( $p=0.044$ ), HDL mean size ( $p<0.001$ ), and with the concentration of medium HDL particles ( $p=0.027$ ).

Results of the stepwise mixed linear model including all variables which reached significance in the univariate analyses showed that the content of FC and TG in HDL and the concentration of small and medium HDL particles appeared as main determinants of the fluidity of HDL monolayer. However, the strong inverse relationship between small and medium HDL particles number ( $r=-0.752$ ,  $p<0.001$ ) (see Supplemental Figure 1) promotes a collinearity in the model distorting the results. Due to this, two models were fitted including or not small or medium HDL particles in them. Results of the models are shown in Table 5. An increase in TG and a decrease in FC levels in HDL, together with a decrease in small



HDL particle number or an increase in the HDL mean size appear as main determinants of the fluidity of the HDL particles.

## Discussion

In this study, we examined the association between 3-week changes in CEC and HDL fluidity after VOO ingestion, as well as the association of the changes in both variables with those of several characteristics of the HDL. Our results point out an increase in HDL fluidity and in the concentration of ApoA-I in HDL, and a decrease in HDL oxidative status as major determinants of CEC. A reduction in FC together with an increase in TG in HDL, and a decrease in the concentration of small HDL particle number or an increase in HDL mean size appeared to be the main determinants for HDL fluidity. A schema of the interrelationships is depicted in Figure 1.

The molecular determinants of CEC are still largely unknown, and multiple pathways, which can be modulated by HDL composition and modification, are involved [31]. Mechanisms accounting for CEC include passive diffusion process as well as active pathways mediated by ABCA1, ABCG1, and the scavenger receptor class B type I (SR-BI). The posttranscriptional regulation of cholesterol efflux via ABCA1 includes mechanisms that involve the stabilization of ABCA1 protein by ApoA-I [4, 13]. In this sense, oxidation of HDL and ApoA-I results in a selective inhibition of ABCA1-dependent cholesterol efflux from macrophages [13]. The ABCA1/ApoA-I complex absorbs antioxidants (*i.e.* lutein, zeaxanthin, etc.) which are LDL-protecting molecules, thus contributing to the formation of nascent HDL [32]. ABCA1/ApoA-I activity is fundamental for the formation of the nascent HDL (pre- $\beta$ 1 fractions), which are also efficient acceptors of cell cholesterol via ABCG1 [4]. In agreement with our past findings, HDL oxidation decreases HDL fluidity and concomitantly CEC [11, 12]. Lipoprotein oxidation promotes the linkage between fatty acids

reducing the mobility of the chains which increases the rigidity of the lipid monolayer [33]. In turn, the fluidity of the HDL monolayer influences ApoA-I conformation and binding to HDL [34]. Thus, a close interrelationship exists between HDL oxidative status, ApoA-I, and HDL fluidity, the three main factors which appear related to CEC in our study.

Changes within the HDL lipid core can also promote HDL dysfunction. The main constituents of the HDL lipidome are the phospholipids, followed by EC, and by TG and FC. They are spatially organized according to their hydrophilic properties: phospholipids and FC in the external hydrophilic monolayer which encloses a hydrophobic core rich in EC and TG [35]. An upregulation of the activity of the cholesterol ester transfer protein (CETP), like occurs in insulin resistance states, alters the TG/EC ratio in HDL, the index used to assess the quantity of TG in the core of the HDL [18]. Higher TG contents in the lipid core impair transfer of EC through SR-BI, hindering CEC [36]. Despite all this referred before, data from human *in vivo* studies show that to maintain a critical pool size of triglyceride-rich lipoproteins, that affects cholesterol from HDL, promotes the efficiency of CEC [37]. A positive correlation between the capacity of plasma to effect cholesterol efflux and plasma levels of TG has been demonstrated in several studies [37]. Thus, the controversy concerning the role of TG on CEC lead us to hypothesize that maintaining an equilibrium in the TG content of HDL versus other lipids could be the clue for the HDL functionality. From our data, the influence of TG and FC on CEC could be mediated through their effect on the HDL fluidity. We have previously described a positive association between the content of TG, oleic acid, and phospholipids in HDL and the fluidity of the lipoprotein, FC content of the HDL being negatively associated [9]. Fluidity depends on the length and saturation of the fatty acids present in the phospholipids of the HDL monolayer. In this sense, a decrease in acyl chain length and an increase in chain unsaturation, such as in the case of oleic acid, increase the fluidity of the lipoprotein [38, 39]. In contrast, FC complexes with phospholipids

form the lipid rafts which are known to harden the membrane and to decrease its fluidity [39]. An increase in TG, a well known effector for increasing lipid membranes fluidity [40], and a decrease in FC in HDL were major determinants for the HDL fluidity in our population.

A huge body of data, somewhat contradictory, exists concerning HDL size and CEC. On the basis of the phospholipid content, small HDL more potently promote CEC [15, 41, 42], whereas on a particle number basis, large HDL are more effective [16, 40]. In this sense, recent studies in peri-menopausal women and elderly adults show CEC to be directly related to large and medium HDL particle concentration and inversely to that of small HDL particle number in multivariate adjusted models [43, 44]. In agreement with these data, in our lineal mixed model small HDL particles were inversely related with CEC, when fluidity was not present in the model. When entering the fluidity of the HDL in the model, small HDL particle number was no longer associated with CEC, but it was, however, inversely related with HDL fluidity in the mixed model when HDL fluidity was the dependent variable. In a similar way that occurred for the lipid HDL composition, in our models the size of the HDL lipoprotein seem to exert their effect on CEC through changes in the HDL monolayer fluidity, with an improvement at larger HDL particle size. The fluidity of the HDL, as well as its CEC, is considered to be inversely related to the sphingomyelin content of the HDL. The sphingomyelin content of the HDL subpopulations remains, at present, controversial [44]. The complexity of sphingomyelin species, their distribution in the different HDL particles, and their role in HDL fluidity and CEC is considered a promising target for future studies [45].

Our study has limitations. First, cholesterol efflux was measured *ex vivo*, but the transport of the cholesterol to the liver, the second part of the reverse cholesterol transport was not assessed. Because our CEC assay involved the use of cell lines [30] our results may not reflect the real *in vivo* situation. However, CEC as measured by a similar method with

J744 cells, but using ApoB-depleted plasma as acceptor, has been shown to be inversely related with cardiovascular risk [6]. A determining limitation in the study is the method used to isolate HDL for measuring CEC. This method limits HDL density to 1.063-1.210 g/mL and does not accurately represent the contribution of pre- $\beta$  HDL, which is found in the density range 1.210–1.250 g/mL [48]. We have measured ApoA-I concentration as a surrogated marker for ApoA-I functionality in our study. Also, we have related 3-week changes in HDL variables with independence of the olive oil intervention period, the possible confounding effect avoided by the introduction of the individual level of test subjects as random effect in the model. Although this approach has advantages in mitigating the effect from confounding factors, it could buffer the pre-post differences. Also, the fact that our population was hypercholesterolemic subjects, can limit the extrapolation of the results to a more general population. A major strength of this study was its crossover, double-blind, placebo controlled design, which helps to limit the effect from confounding factors such as differences in physical activity or diets in tests subjects. As essential strength of our work, it is one of the first comprehensive association studies between HDL functional characteristics (also covering an intensive lipidomic and metabolomic profile) and the most relevant HDL functionality trait, CEC.

Among factors which can modify CEC and HDL characteristics, besides VOO alone, we have reported that diets rich in VOO and antioxidants such as the Mediterranean diet have shown to increase the CEC together with improvements in HDL oxidative status, composition, ApoA-I, and HDL particle size [47–49]. Also, novel pharmaceutical approaches are being developed for improving HDL characteristics and functionality. Therapies based on the infusion of ApoA-I formulations (rHDL) in humans, increased ApoA-I levels and cholesterol esterification, and caused a transient accumulation of very small HDL species,

followed by an enlargement of particles present within medium and large HDL. These changes were concomitant with an increase in the CEC [50].

In summary, by using a model as VOO consumption in which improvements in HDL characteristics and functionality have been reported [10, 17–24], we looked for the major determinants of the CEC. From our results, an increase in the fluidity of the HDL and in the concentration of ApoA-I in HDL, and a decrease in HDL oxidative status appear as major determinants of CEC. The impact of a reduction in FC, together with an increase in TG in HDL on CEC could be mediated by their effect increasing the fluidity of the HDL monolayer. The same occurs for the decrease in small HDL particle number or the increase in the HDL mean size. Our work point out several promising new therapeutic targets, besides HDL cholesterol levels, for improving HDL functionality in humans through dietary, nutraceutical, or pharmaceutical interventions.

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## Conflicts of interest

None declared

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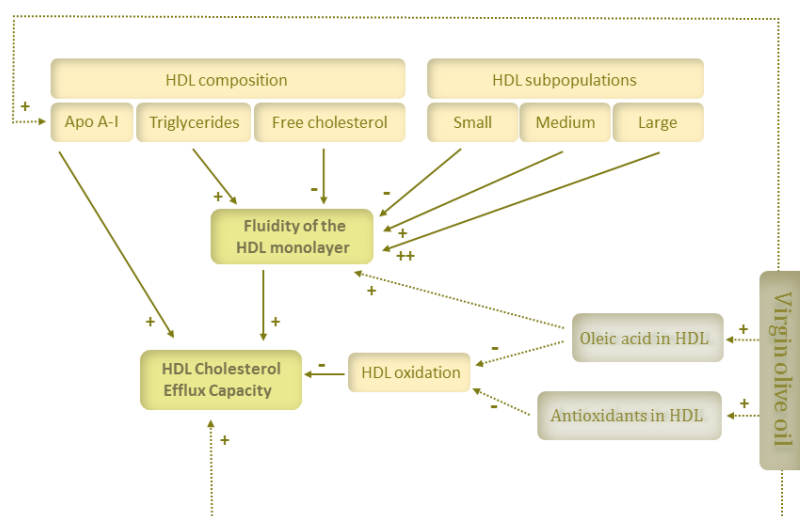
# Accepted Article

## Improves High-Density Lipoprotein Function in High-Cardiovascular-Risk

Individuals: A Randomized Controlled Trial. *Circulation* 2017, 135, 633–643.

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**Figure 1.** A proposal model for the interrelationship among the main determinants (dense arrows) of HDL cholesterol efflux capacity in hypercholesterolemic subjects after virgin olive oil ingestion. Intermittent arrows point out the expected contribution of the main virgin olive oil components.





**Table 1.** Baseline characteristics of the participants

Variable	Mean $\pm$ SD
Age, years	55.6 $\pm$ 10.0
Gender, male/female	19/14
Body mass index, kg/m	26.6 $\pm$ 4.5
Physical activity, METs.min/w <sup>*</sup>	2423 (897-4544)
Systolic blood pressure, mmHg	128.2 $\pm$ 14.6
Diastolic blood pressure, mmHg	73.15 $\pm$ 10.0
Glucose, pl, mg/dL	90.8 $\pm$ 11.6
Cholesterol ,pl, mg/dL	
Total	226.4 $\pm$ 35.2
LDL	148.3 $\pm$ 28.8
HDL	53.01 $\pm$ 11.13
Triglycerides, pl, mg/dL <sup>*</sup>	114.2 (85.5 $\pm$ 145.0)
Use of antilipemic medication, yes/no	14/19

**Table 2.** Pre- and post-intervention values in HDL related variables

Variable	Pre-intervention	Post-intervention
HDL mediated cholesterol efflux, %	3.93 ± 1.16	4.13 ± 1.40*
Fluidity of the HDL monolayer, <i>I/AU</i>	4.96 ± 0.48	5.00 ± 0.34
HDL composition		
Fatty acids in HDL, %		
Arachidonic	10.32 ± 5.13	10.61 ± 7.06
Eicosatreanoic	0.77 ± 1.56	0.61 ± 1.15
Linoleic	29.40 ± 9.55	27.78 ± 15.36
Oleic	19.61 ± 7.26	20.78 ± 7.15 <sup>†</sup>
Palmitic	22.33 ± 14.13	23.61 ± 7.27
Stearic	10.93 ± 10.04	11.55 ± 6.85
Proteins in HDL, g/L		
Apolipoprotein A-I	0.63 ± 0.16	0.66 ± 0.17 <sup>†</sup>
Apolipoprotein A-II	0.17 ± 0.05	0.17 ± 0.04
Lipids in HDL, mg/dL		
Total Cholesterol	31.84 ± 11.60	31.82 ± 12.05
Free Cholesterol	13.42 ± 6.25	12.73 ± 5.86
Esterified cholesterol	18.41 ± 8.05	18.45 ± 8.07
Triglycerides	7.22 ± 2.09	7.28 ± 2.24
Phospholipids	61.13 ± 18.44	60.66 ± 17.71
Oxidative status of HDL <sup>b</sup>		
Lag time, min <sup>a</sup>	34.09 (26.60-52.78)	35.38 (26.94-46.07)
Maximum absorbance, Abs	0.48 ± 0.10	0.47 ± 0.14
Oxidation rate, Abs/s	7.96 ± 2.52	8.14 ± 2.52
Antioxidative status of HDL, μmol/L		
Total phenolic content <sup>a,c</sup>	14.41 (0-144.5)	63.78 (0-585.3) <sup>‡</sup>
α-tocopherol	12.64 ± 0.70	12.77 ± 0.79*
γ-tocopherol	0.13 ± 0.35	0.15 ± 0.44
β-carotene <sup>a</sup>	1.27 (0-3.18)	1.79 (0-3.45)
β-cryptoxanthin	7.95 ± 2.96	8.73 ± 3.44 <sup>‡</sup>
Coenzyme-Q	299.2 ± 192.2	324.2 ± 191.1 <sup>†</sup>
Luteine	2.26 ± 1.93	2.58 ± 2.02
Retinol	3.39 ± 1.67	3.71 ± 2.01
PON1 activity, U/L <sup>d</sup>	5.18 ± 1.58	5.31 ± 1.74
HDL particle size, μmol/L		
HDL mean size (total) <sup>e</sup>	9.24 ± 0.46	9.27 ± 0.45
Small HDL particles	17.71 ± 6.03	16.93 ± 5.32
Medium HDL particles	10.80 ± 6.09	9.35 ± 4.96
Large HDL particles	7.07 ± 3.68	7.09 ± 3.53

Values expressed as mean and standard deviation. AU, arbitrary units<sup>a</sup> Values expressed as median (25th-75th percentile) <sup>b</sup>Conjugated dienes formation curve. <sup>c</sup>Expressed in nmol/L. <sup>d</sup>PON1, Paraoxonase-1. <sup>e</sup>Expressed in nanometers.

\*P<0.05; <sup>†</sup>P<0.01; <sup>‡</sup>P<0.001

**Table 3.** Correlation coefficients (R) between 3-week changes in HDL cholesterol efflux and fluidity of the HDL monolayer and HDL characteristics after olive oil ingestion

Variable (3-week changes)	Cholesterol efflux		Fluidity	
	R	P value	R	P value
Cholesterol efflux, %	1	1	0.308	0.004
Fluidity, 1/anisotropy (AU)	0.308	0.004	1	1
<i>HDL Composition</i>				
Fatty acids in HDL, %				
Arachidonic	0.043	0.700	0.097	0.387
Eicosatrenoic	0.084	0.456	0.033	0.768
Linoleic	-0.235	0.034	0.057	0.613
Oleic	0.025	0.823	0.004	0.972
Palmitic	0.128	0.256	-0.155	0.156
Stearic	0.328	0.003	0.140	0.213
Proteins in HDL, g/L				
Apolipoprotein A-I	0.309	0.004	0.123	0.264
Apolipoprotein A-II	0.364	0.001	0.070	0.537
Lipids in HDL, mg/dL				
Total Cholesterol	0.287	0.008	-0.010	0.927
Free Cholesterol	0.073	0.509	-0.322	0.003
Esterified Cholesterol	0.225	0.040	0.229	0.036
Triglycerides	0.251	0.021	0.383	<0.001
Phospholipids	0.382	<0.001	0.186	0.091
Triglycerides in HDL core*	-0.041	0.713	0.220	0.044
<i>Oxidative/Antioxidative status of HDL</i>				
Oxidative status <sup>†</sup>				
Lag time, min	0.331	0.006	-0.049	0.606
Maxim absorbance, Abs	0.053	0.708	0.125	0.375
Oxidation rate, Abs/s	-0.168	0.189	0.175	0.215
Antioxidative status of HDL, $\mu\text{mol/L}$				
$\alpha$ -tocopherol,	-0.021	0.859	-0.001	0.903
$\gamma$ -tocopherol	-0.022	0.850	0.069	0.549
$\beta$ -carotene	0.044	0.701	0.016	0.892
$\beta$ -cryptoxanthin	0.306	0.008	0.034	0.772
Coenzyme-Q	0.128	0.291	0.075	0.539
Luteine	0.211	0.066	0.065	0.575
Retinol	0.158	0.170	-0.179	0.120
Phenolic compounds <sup>‡</sup>	0.138	0.182	0.127	0.271
PON1 activity, U/L	0.139	0.210	0.108	0.332
<i>HDL particle size, <math>\mu\text{mol/L}</math></i>				
HDL mean size (total) <sup>¥</sup>	0.076	0.494	0.379	<0.001
Small HDL particles	-0.349	0.001	-0.635	<0.001
Medium HDL particles	0.273	0.012	0.241	0.027
Large HDL particles	0.224	0.041	0.155	0.158

AU, arbitrary units. PON1, Paraoxonase-1. \*Calculated as triglycerides/esterified cholesterol ratio. <sup>†</sup>Conjugated dienes formation curve. <sup>‡</sup> nmol/L. <sup>¥</sup> nanometers

**Table 4.** Determinants of 3-week changes in cholesterol efflux in hypercholesterolemic subjects after virgin olive oil ingestion.

Predictor variable (3-week changes)	B coefficient	SE	T	P value
Model 1 <sup>*</sup>				
Fluidity of the HDL monolayer	0.731	0.279	2.62	0.010
Apolipoprotein A1	2.54	0.73	3.45	0.001
Model 2 <sup>†</sup>				
Fluidity of the HDL monolayer	0.777	0.289	2.69	0.009
Apolipoprotein A1	2.47	0.892	2.77	0.007
Lag time	0.008	0.004	2.36	0.022
Model 3 <sup>‡</sup>				
Apolipoprotein A1	1.92	0.94	2.04	0.046
Lag time of conjugated dienes	0.008	0.004	2.26	0.027
Small HDL particle number	-0.042	0.016	-2.68	0.009

Stepwise mixed linear models adjusted for age and sex, and individual level of test subjects as a random effect. SE, standard error. <sup>\*</sup>Model 1. Without the lag time of conjugated dienes formation; <sup>†</sup> Model 2, including all variables; <sup>‡</sup>Model 3, without fluidity of the HDL monolayer

**Table 5.** Determinants of 3-week changes in the HDL fluidity in hypercholesterolemic subjects after virgin olive oil ingestion.

Predictor variable (3-week changes)	B coefficient	SE	T	P value
Model 1 <sup>*</sup>	-0.032	0.007	-4.73	<0.001
Free cholesterol in HDL				
Triglycerides in HDL	0.035	0.013	2.68	0.010
Small HDL particles	-0.025	0.004	-6.28	<0.001
Model 2 <sup>†</sup>				
Free cholesterol in HDL	-0.035	0.077	-4.47	<0.001
Triglycerides in HDL	0.061	0.014	4.25	<0.001
HDL mean size	0.290	0.104	2.79	0.007

Stepwise mixed linear model adjusted for age and sex, and individual level of test subjects as a random effect. SE, standard error. <sup>\*</sup>Model 1, without medium HDL particles. <sup>†</sup>Model 2, without small HDL particles.